

TGA Report

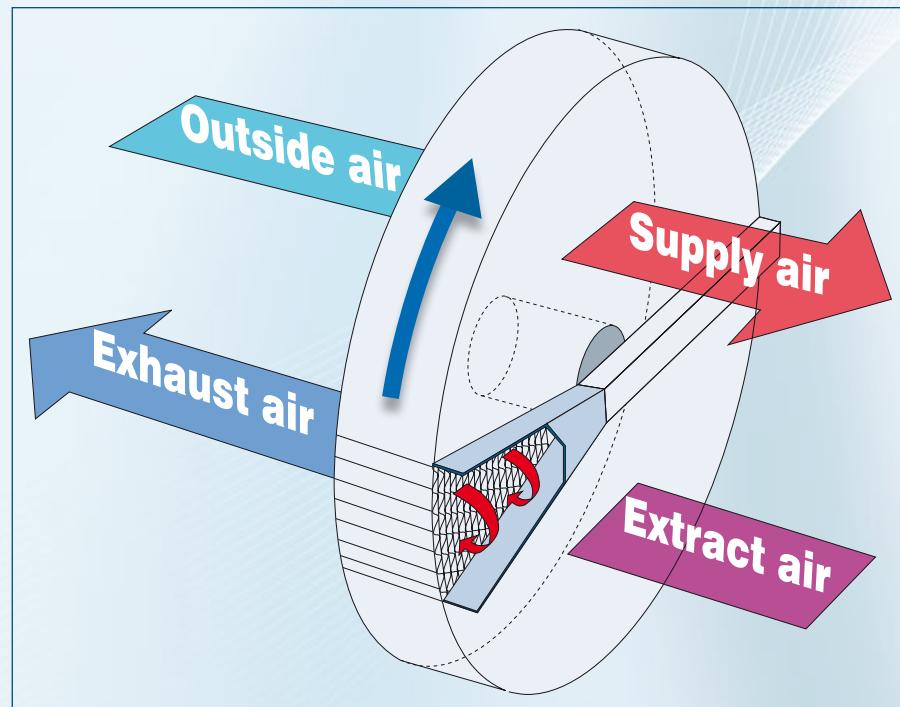
Nr. 8

Veröffentlicht: 03/2022
Bestell-Nr.: 403

Measurements of Aerosol Transfer by Rotary Heat Exchangers

Prof. Heinrich Huber, Hochschule Luzern – Technik & Architektur
Dipl.-Ing. HLKS Florian Brzezinski, Hochschule Luzern – Technik & Architektur
Dr. sc. nat. Michael Riediker, Swiss Centre for Occupational and Environmental Health

Report HP-212193 der Hochschule Luzern



HSLU Hochschule
Luzern

 Fachverband
Gebäude-Klima e.V.

**Diese Studie entstand mit freundlicher Unterstützung
folgender Unternehmen:**

Hoval Aktiengesellschaft
Klingenburg GmbH
Swegon Group AB
Systemair GmbH
TROX GmbH



Bewertung der Studie durch den Fachverband Gebäude-Klima e. V.

Die Covid-19-Pandemie beschäftigt uns nun schon seit etwa zwei Jahren. Dabei ist das Einatmen infektiöser Aerosole einer der Hauptübertragungswege. Mikrotröpfchen und Aerosole, die beim Atmen oder Sprechen in die Umgebungsluft gelangen, können hier über einen Zeitraum von mehreren Stunden verweilen und aktive Sars-CoV-2-Viren transportieren.

Grundlage der hier vorgestellten Studie ist die Fragestellung, inwieweit potenziell kontaminierte Aerosole durch Rotationswärmeübertrager von der Abluft in die Zuluft gelangen können. Mögliche Übertragungswege sind hier Leckagen, Mitrotation oder über das Material der Speichermasse. Also im Zusammenhang mit den Rotationswärmeübertragern die Frage, ob zusätzlich zu den bekannten Aspekten der Leckagen (allgemein in RLT-Geräten) weitere Übertragungswege und Risiken bestehen. Hierzu wurden Untersuchungen zur Aerosolübertragung auf einem Prüfstand des HLK-Labors der Hochschule Luzern durchgeführt.

Mögliche Leckagen bei der Verwendung von Rotationswärmeübertragern können durch korrekte Ventilatoranordnung und Druckverhältnisse, sowie durch Spülzonen minimiert bzw. so gerichtet werden, dass eine Übertragung von der Abluft auf die Zuluft praktisch ausgeschlossen wird. Die Oberfläche eines Rotationswärmeübertragers wird sowohl von der Zu- als auch von der Abluft berührt. Dies ermöglicht die Feuchterückgewinnung, könnte aber auch das Übertragen unerwünschter Stoffe bedingen.

Zur Beurteilung der Aerosolübertragung wurden das Abluftübertragungsverhältnis EATR (Maß für die Übertragung gasförmiger Stoffe von der Abluft auf die Zuluft) und das Aerosolübertragungsverhältnis ASTR (Maß für die entsprechende Aerosolübertragung) bestimmt und verglichen. Die Messungen wurden mit zwei handelsüblichen Rotationswärmeübertragern verschiedener Hersteller (ein Kondensations- und ein Sorptionsrotor) sowohl für den Heiz- als auch für den Kühlfall und mit Feuchteübertragung durchgeführt. Für beide Komponenten wurden die Versuchsreihen sowohl mit als auch ohne Spülkammer durchgeführt. Die Anströmgeschwindigkeit der Rotationswärmeübertrager lag bei 2 m/s. Das für die Bestimmung des ASTR verwendete Aerosol ist mit jener vom Menschen ausgeatmeten Aerosole vergleichbar. Dieses Aerosol ist in der Luft über längere Zeiträume stabil. Die Erfassung des EATR erfolgte durch das Injizieren von Tracergas in die Abluft des Wärmerückgewinnerprüfstandes.

Bei allen betrachteten Temperatur- und Feuchtebedingungen lagen die Werte für das Aerosolübertragungsverhältnis ASTR ohne Spülzone unter den Werten des Abluftübertragungsverhältnisses. Bei den Messungen mit Spülzone und sonst gleichen Bedingungen waren sowohl die EATR- als auch die ASTR-Werte stets sehr gering (ASTR und EATR maximal 0,6 %).

Zusammengefasst wurde festgestellt, dass neben einer möglichen Aerosolübertragung durch Leckagen bei Rotationswärmeübertragern kein zusätzliches Risiko der Aerosolübertragung über weitere Transportmechanismen besteht. Das ASTR ist mit den bekannten EATR-Kennwerten ausreichend genau ab schätzbar.

Damit ist nachgewiesen, dass bei der fachgerechten Anwendung von Rotationswärmeübertragern unter Berücksichtigung der EATR-Kennwerte ein sicherer Betrieb von Lüftungsanlagen ohne erhöhtes Risiko der Aerosolübertragung möglich ist.

Comment to the study by Fachverband Gebäude-Klima e. V.

The Covid 19 pandemic kept us busy for about two years now. Inhalation of infectious aerosols is one of the main routes of transmission. Microdroplets and aerosols that enter the ambient air when breathing or talking can linger here for a period of several hours and transport active Sars-CoV-2 viruses. The basis of the study presented here is the question of the extent to which potentially contaminated aerosols can pass from the extract air into the supply air through rotary heat exchangers. Possible transfer paths are leakage, carry over or given by the rotor material itself. Thus, in connection with rotary heat exchangers, the question arises as to whether, in addition to the known aspects of leakage (generally in air handling units), further transmission paths and risks exist. For this purpose, investigations on aerosol transmission were carried out on a test rig of the HVAC laboratory of the Lucerne University of Applied Sciences and Arts.

Possible leakages when using rotary heat exchangers can be minimized by correct fan arrangement and pressure ratios, as well as by purge zones, or directed in such a way that a transfer from the extract air to the supply air is practically excluded. The surface of a rotary heat exchanger is contacted by both supply and extract air. This allows moisture recovery, but could also condition the transfer of undesirable substances.

To evaluate aerosol transfer, the exhaust air transfer ratio EATR (a measure of the transfer of gaseous substances from the extract air to the supply air) and the aerosol transfer ratio ASTR (a parameter of the corresponding aerosol transfer) were determined and compared. The measurements were carried out with two commercially available rotary heat exchangers from different manufacturers (one condensing and one sorption rotor) both for heating and cooling and with moisture transfer. For both components, the test series were carried out both with and without a purge sector. The face velocity of the rotary heat exchangers was 2 m/s.

The aerosol used for the determination of the ASTR is comparable to the aerosol exhaled by humans. This aerosol is stable in the air over longer periods of time. The EATR was determined by injecting tracer gas into the extract air of the heat recovery test rig.

For all temperature and humidity conditions considered, the aerosol transfer ratio ASTR without purge zone were lower than the exhaust air transfer ratio. In the measurements with purge sector and otherwise the same conditions, both the EATR and ASTR values were always very low (ASTR and EATR maximum 0,6 %).

In summary, it was found that in addition to possible aerosol transfer through leakage in rotary heat exchangers, there is no additional risk of aerosol transfer via other transport mechanisms. The ASTR can be estimated with sufficient accuracy using the known EATR characteristics.

It has thus been demonstrated that safe operation of ventilation systems without increased risk of aerosol transfer is possible when rotary heat exchangers are used properly considering the EATR characteristic values.

Report HP-212193

Horw, 2022-03-01

Measurements of Aerosol Transfer by Rotary Heat Exchangers

Commissioned by
Fachverband Gebäude-Klima e. V.

Imprint

Commissioned by

Fachverband Gebäude-Klima e. V.
Danziger Strasse 20
D-74321 Bietigheim-Bissingen
Deutschland
(Abbreviation: FGK)

Contractor

Hochschule Luzern – Technik & Architektur
Prüfstelle Gebäudetechnik
Technikumstrasse 21
CH-6048 Horw
Schweiz
(Abbreviation: HLSU)

Subcontractor

Swiss Centre for Occupational and Environmental
Health
Binzhofstrasse 87
CH-8404 Winterthur
Schweiz
(Abbreviation: SCOEH)

Authors

Prof. Heinrich Huber, HSLU T&A
Dipl. Ing. HLKS Florian Brzezinski, HSLU T&A
Dr. sc. nat. Michael Riediker, SCOEH

Delivered to

Claus Händel, FGK

Project No

HP-212193

File name

r_20220301 HP-212193 FGK Aerosol Transfer
Measurements.docx

List of amendments

| Version | Date | Status | Amendments / Remarks | Visa |
|---------|------------|--------|----------------------|----------|
| No 01 | 02.02.2022 | V0 | Draft Final Report | Brf, Huh |
| No 02 | 01.03.2022 | V1 | Final Report | Brf, Huh |

Abstract

Since spring 2020, the Sars-CoV-2 virus has been spreading, leading to the COVID-19 pandemic. The main route of transmission has been identified as inhalation of virus-containing aerosols. The microdroplets released during breathing (diameter ranging from 0.2 to 2 μm) or speaking (bimodal, microdroplets between 0.1 to 10 μm , and larger droplets between 20 to 1000 μm) [3] can remain airborne for hours depending on environmental conditions (temperature, humidity, light) and transport active Sars-CoV-2 viruses. In this context, the question has arisen to what extent potentially contaminated aerosols can be transferred by rotary heat exchangers (RHE) from the exhaust air to the supply air. Possible transmission paths of aerosols in rotors are leakage, carry over and given by the rotor material itself. To answer this question a project led by the association FGK Fachverband Gebäude-Klima e. V. has been initiated.

Test objects were a commercial condensation rotor and a commercial sorption rotor. The test took place at the test rig of the HVAC laboratory of the Hochschule Luzern. The aerosol concentration was measured in all four air streams (outside air, supply air, extract air, exhaust air). To keep the aerosol concentration in the outside air as low as possible, it was passed through an H14 filter. In the exhaust air inlet, the aerosol feed was built up. During the measurement, the aerosol was applied using a pulse method. The maximum peak heights at the four aerosol measuring points were used for evaluation. A mixture of triethylene glycol, monopropylene glycol and dipropylene glycol was used as the aerosol source. The aerosol used has an average diameter of just over one micrometer and is therefore comparable in size to exhaled aerosol. The aerosol, like human exhaled aerosol, is liquid at normal ambient temperatures. It is produced by evaporation and condensation of a water-glycol mixture and is stable in air for extended periods of time. Parallel to the aerosol transfer, the exhaust air transfer ratio (EATR) was determined with tracer gas. For the aerosol measurements and evaluations, the HSLU collaborated with the institute SCOEH.

For the condensation rotor and the sorption rotor without a purge sector, the aerosol transfer ratio (ASTR) was always lower than the EATR with the tracer gas SF₆. The smallest difference of 0.1 to 0.5 percentage points was found at the lowest tested outdoor air temperature of -3°C. At higher outdoor air temperatures, the ASTR was between 0.5 and 2 percentage points lower than the EATR. With purge sector and conditions (rotor speed, face velocity, pressure conditions) resulting in an EATR not higher than 0.03%, measurements on the sorption rotor showed a maximum ASTR of 0.12%. For the condensation rotor with a different purge sector design, the ASTR remained at a level of 0.17 to 0.35%. It is assumed that the difference lies in the fluidic phenomena of the purge sector and not in the matrix of the rotor.

Content

| | | |
|------|--|----|
| 1. | Introduction | 5 |
| 2. | Test object and Method | 5 |
| 2.1. | Test object | 5 |
| 2.2. | Test set-up | 6 |
| 2.3. | Test conditions and procedure | 6 |
| 3. | Results | 7 |
| 3.1. | Condensation Rotary Heat Exchanger without purge sector | 7 |
| 3.2. | Condensation Rotary Heat Exchanger with purge sector | 9 |
| 3.3. | Sorption Rotary Heat Exchanger without purge sector | 11 |
| 3.4. | Sorption Rotary Heat Exchanger with purge sector | 13 |
| 4. | Discussion..... | 15 |
| 4.1. | Significance of test conditions..... | 15 |
| 4.2. | Comparison of test results | 15 |
| 4.3. | Conclusion..... | 18 |
| 5. | List of Figures..... | 19 |
| 6. | Bibliography | 19 |
| 7. | Annex 2: Test Method | 20 |
| 7.1. | Aerosol Transfer Ratio ASTR | 20 |
| 7.2. | Exhaust Air Transfer Ratio EATR | 21 |
| 7.3. | Test Rig for Energy Recovery Devices | 22 |
| 7.4. | Symbols and Subscripts..... | 23 |
| 8. | Annex 2: Measurement Devices | 24 |
| 8.1. | Aerosol Generator/ Aerosol..... | 24 |
| 8.2. | Aerosol measuring device | 24 |
| 8.3. | Specification Instruments test rig for energy recovery devices | 25 |

1. Introduction

Aerosols are considered a main cause of COVID-19 infections indoors, the disease caused by the virus SARS-CoV-2. Therefore, limiting aerosol transfer between supply and extract air in ventilation systems is critical. In bidirectional ventilation systems, heat recovery is state of the art and is even required in the European "Commission guidelines: Ecodesign required for ventilation units" (Regulation (EU) No 1253/2014). Rotary heat exchangers (RHE) are an efficient and economically interesting solution and are therefore widely used. A disadvantage of RHE is that due to the physical principle and the mechanical implementation a higher exhaust air transfer ratio (EATR) can occur than with other common heat recovery categories such as plate heat exchangers or run around coil systems. Measures to minimise and evaluate the EATR of RHEs are well known and recommended e.g. in the REHVA COVID-19 Guide [1]. Particular mention should be made here of the correct pressure ratios and purge sectors. However, RHEs are not generally equipped with purge sectors, and in older air handling units (AHUs) the pressure ratios are not always optimal. Another aspect is the fact that the surface of an RHE is touched by both supply and extract air. Although this enables humidity recovery, it also carries the risk of transferring undesirable substances. However, aerosols can adhere to the surface if they come into contact with it. Associated with adhesion are deposition and potential release.

The characteristics of RHEs raise the question of whether relevant aerosol transfer can take place and whether this differs from EATR. For hygienically demanding applications of RHEs, it is crucial to have more knowledge about the phenomenon of aerosol transfer. Additional knowledge is important for the acceptance of RHEs by both experts and occupants. Against this background, the industry is looking for answers. On the initiative of a private company, the University of Applied Sciences and Arts Lucerne (HSLU) together with the Swiss Centre for Occupational and Environmental Health (SCOEH) carried out first experimental investigations in January 2021. In autumn 2021, the idea to expand those experiments was supported by a manufacturer group under the lead of the association FGK Fachverband Gebäude-Klima e. V.. The present project was initiated by this group. In addition to the first project, investigations with different air conditions were of interest and also differences of aerosol transfer with and without purge sector.

2. Test object and Method

2.1. Test object

Test objects were two commercial rotary heat exchangers (RHE) from different manufacturers. The test objects are specified in Table 1

Table 1: Test object specification

| Type | Condensation Rotor | Sorption Rotor |
|---------------------------------|---------------------------|---------------------------|
| Material and coating | Aluminium without coating | Aluminium zeolite coating |
| Free diameter | 1220 mm | 900 mm |
| Depth | 200 mm | 250 mm |
| Diameter of hub | 130 mm | 165 mm |
| Free face area | 1.156 m ² | 0.615 m ² |
| Purge system | yes ^a | yes ^a |
| Design rotor speed ^b | 10 rpm | 20 rpm |

a The purge sector could be dismantled for certain test series.

b Rotor speed for which the purge sector is designed for

2.2. Test set-up

The Building Technology Laboratory of the HSLU operates a test rig for heat recovery components. In chapter 7 Annex 2: *Test Method* the test schemes, symbols and definitions are shown. The RHEs were installed in a test casing of the laboratory. This corresponds to EN 380:2021 [2] test type A1.

The test equipment for aerosols was provided by SCOEH, which also supported the evaluation of the results. All other equipment and evaluations were provided by HSLU.

2.3. Test conditions and procedure

In order to assess the aerosol transmission, the exhaust air transfer ratio (EATR) and the aerosol transfer ratio (ASTR) were determined and compared.

The measurements of EATR and ASTR were performed with balanced air mass flows (exhaust inlet/supply outlet) under the conditions specified in Table 2 and Table 3. The thermal and fluidic quantities, as well as the EATR, were measured according to EN 380:2021 with precision class P1.

For both RHEs test series with and without purge sector were carried out.

Table 2: Measuring points assessed with condensation and sorption rotors

| Meas.-points | Pressure difference SUP/ETA Cond./sorp. ^a Δp_{22-11} Pa | Face air velocity c m/s | Rotor speed Cond./sorp. ^a n rpm | Air inlet-temperatures | | Air inlet Relative Humidity φ_{21} % RH | Purge sector |
|--------------|---|-------------------------------|--|------------------------|-----------------|--|-----------------|
| | | | | t_{21} °C. | t_{11} °C. | | |
| 1 | 10/20 | 2.0 | 9/10 | 20.0 | 20.0 | 50 | 50 |
| 2 | 10/20 | 2.0 | 10/15 | 20.0 | 20.0 | 50 | 50 |
| 3 | 10/20 | 2.0 | 12/20 | 20.0 | 20.0 | 50 | 50 |
| 4 | 10/20 | 2.0 | 9/10 | 2.0 | 22.0 | 70 | 45 |
| 5 | 10/20 | 2.0 | 10/15 | 2.0 | 22.0 | 70 | 45 |
| 6 | 10/20 | 2.0 | 12/20 | 2.0 | 22.0 | 70 | 45 |
| 7 | 10/20 | 2.0 | 9/10 | -3° | 22.0 | 70 | 45 |
| 8 | 10/20 | 2.0 | 10/15 | -3° | 22.0 | 70 | 45 |
| 9 | 10/20 | 2.0 | 12/20 | -3° | 22.0 | 70 | 45 |

a Values before slash for condensation rotor, after slash for sorption rotor

Table 3: Additional measuring points assessed with the sorption rotor

| Meas.-points | Pressure difference SUP/ETA Δp_{22-11} Pa | Face air velocity c m/s | Rotor speed n rpm | Air inlet-temperatures | | Air inlet Relative Humidity φ_{21} % RH | Purge sector |
|--------------|---|-------------------------------|-------------------------|------------------------|-----------------|--|-----------------|
| | | | | t_{21} °C. | t_{11} °C. | | |
| 10 | 20 | 2.0 | 10 | 35.0 | 25.0 | 50 | 50 |
| 11 | 20 | 2.0 | 15 | 35.0 | 25.0 | 50 | 50 |
| 12 | 20 | 2.0 | 20 | 35.0 | 25.0 | 50 | 50 |

3. Results

In the following the results of the tests are presented. For a description of the symbols and their definitions please refer to the appendix. The accuracy of the ASTR value is calculated from the standard deviation by multiplying by a coverage factor k (confidence level of 95%). The accuracy of the EATR is determined according to the ISO/IEC Standard Guide 98-3:2008 Typ B (confidence level of 95%).

3.1. Condensation Rotary Heat Exchanger without purge sector

Table 4: Aerosol Transfer Ratio (ASTR), Condensation Rotor, without purge sector

| ASTR | without purge sector | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p_{Baro} Pa | n rpm | $q_{\text{in}22}$ kg/h | Δp_{22-11} Pa | ASTR % | Purge Sector |
|------------|----------------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|---------------------------|--------------------------|-----------|-----------------|
| ASTR 1.1.1 | | 2.0 | 19.8 | 50.6 | 20.7 | 47.8 | 95147 | 9.3 | 4987 | 10 | 1.47 | ± 0.12 |
| ASTR 1.1.2 | | 2.0 | 20.1 | 49.8 | 19.9 | 50.2 | 95099 | 10.6 | 4986 | 10 | 1.87 | ± 0.59 |
| ASTR 1.1.3 | | 2.0 | 20.0 | 49.1 | 20.1 | 50.1 | 95636 | 12.4 | 4989 | 10 | 2.46 | ± 0.55 |
| ASTR 1.1.4 | | 2.0 | 21.9 | 45.1 | 1.9 | 68.2 | 95072 | 9.3 | 4991 | 10 | 1.74 | ± 0.25 |
| ASTR 1.1.5 | | 2.0 | 22.0 | 44.9 | 2.0 | 67.3 | 95116 | 10.6 | 4993 | 10 | 2.06 | ± 0.45 |
| ASTR 1.1.6 | | 2.0 | 21.9 | 45.2 | 2.1 | 68.2 | 95101 | 12.4 | 4991 | 10 | 2.47 | ± 0.60 |
| ASTR 1.1.7 | | 2.0 | 22.0 | 45.0 | -3.0 | 65.8 | 95000 | 9.3 | 4989 | 10 | 2.04 | ± 0.45 |
| ASTR 1.1.8 | | 2.0 | 22.0 | 45.0 | -3.0 | 65.8 | 95000 | 10.5 | 4989 | 10 | 2.41 | ± 0.76 |
| ASTR 1.1.9 | | 2.0 | 21.9 | 45.2 | -3.0 | 62.9 | 94992 | 12.4 | 4989 | 10 | 2.97 | ± 0.31 |

Table 5: Exhaust Air Transfer Ratio (EATR), Condensation Rotor, without purge sector

| EA TR | without purge sector | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p_{Baro} Pa | n rpm | $q_{\text{in}22}$ kg/h | Δp_{22-11} Pa | EA TR % | Purge Sector |
|-------------|----------------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|---------------------------|--------------------------|------------|-----------------|
| EA TR 1.1.1 | | 2.0 | 20.2 | 50.1 | 19.9 | 50.6 | 96460 | 9.3 | 4993 | 10 | 2.18 | ± 0.14 |
| EA TR 1.1.2 | | 2.0 | 20.0 | 50.1 | 20.3 | 49.2 | 96476 | 10.6 | 4993 | 10 | 2.51 | ± 0.14 |
| EA TR 1.1.3 | | 2.0 | 19.8 | 50.5 | 20.1 | 49.7 | 96531 | 12.4 | 4993 | 10 | 3.05 | ± 0.17 |
| EA TR 1.1.4 | | 2.0 | 21.9 | 45.2 | 2.1 | 67.2 | 96428 | 9.3 | 4993 | 10 | 2.24 | ± 0.14 |
| EA TR 1.1.5 | | 2.0 | 21.9 | 45.1 | 2.0 | 68.7 | 96506 | 10.6 | 4993 | 10 | 2.51 | ± 0.17 |
| EA TR 1.1.6 | | 2.0 | 22.1 | 44.4 | 1.9 | 69.4 | 96508 | 12.4 | 4993 | 10 | 3.07 | ± 0.18 |
| EA TR 1.1.7 | | 2.0 | 21.8 | 45.5 | -3.0 | 65.1 | 96391 | 9.3 | 4992 | 10 | 2.19 | ± 0.14 |
| EA TR 1.1.8 | | 2.0 | 21.9 | 44.9 | -3.2 | 66.4 | 96389 | 10.5 | 4992 | 10 | 2.56 | ± 0.16 |
| EA TR 1.1.9 | | 2.0 | 21.9 | 45.0 | -3.0 | 68.1 | 96350 | 12.4 | 4992 | 10 | 3.12 | ± 0.17 |

Condensation-Rotor without purge sector

face velocity 2 m/s (at $\rho = 1.2 \text{ kg/m}^3$), $\Delta p_{22-11} = 10 \text{ Pa}$

| | | |
|--------------|--------------|--------------|
| 11: 20°C/50% | 11: 22°C/45% | 11: 22°C/45% |
| 21: 20°C/50% | 21: 2°C/70% | 21: -3°C/70% |

| | | | | | | | | | |
|-----|------|------|-----|------|------|-----|------|------|---------|
| 9.3 | 10.6 | 12.4 | 9.3 | 10.6 | 12.4 | 9.3 | 10.6 | 12.4 | n [rpm] |
|-----|------|------|-----|------|------|-----|------|------|---------|

EATR / ASTR [%]

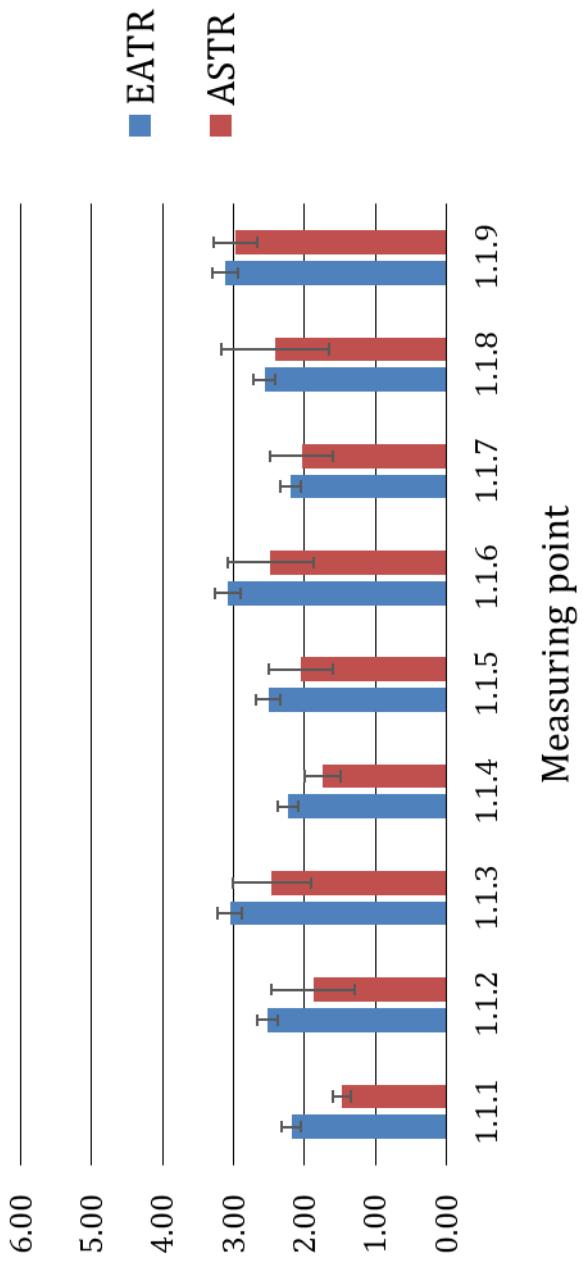


Figure 1: Aerosol Transfer Ratio/Exhaust Air Transfer Ratio, Condensation Rotor, without purge sector

3.2. Condensation Rotary Heat Exchanger with purge sector

Table 6: Aerosol Transfer Ratio (ASTR), Condensation Rotor, with purge sector

| ASTR with purge sector | v m/s | t_{11} °C | ϕ_{11} % r.F. | t_{21} °C | ϕ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | ASTR % | Purge Sector |
|---------------------------|----------|----------------|-----------------------|----------------|-----------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| ASTR 1.2.1 | 2.0 | 19.9 | 50.3 | 20.1 | 49.1 | 96339 | 9.3 | 4992 | 10 | 0.17 | ± 0.04 |
| ASTR 1.2.2 | 2.0 | 19.9 | 50.7 | 20.5 | 48.6 | 96365 | 10.6 | 4993 | 10 | 0.26 | ± 0.06 |
| ASTR 1.2.3 | 2.0 | 19.9 | 50.1 | 20.0 | 50.2 | 96304 | 12.4 | 4993 | 10 | 0.36 | ± 0.06 |
| ASTR 1.2.4 | 2.0 | 21.8 | 45.2 | 2.1 | 68.0 | 96074 | 9.3 | 4993 | 11 | 0.21 | ± 0.08 |
| ASTR 1.2.5 | 2.0 | 22.2 | 44.5 | 1.9 | 67.9 | 96122 | 10.6 | 4994 | 10 | 0.31 | ± 0.05 |
| ASTR 1.2.6 | 2.0 | 21.8 | 45.2 | 2.0 | 68.1 | 96199 | 12.4 | 4992 | 10 | 0.49 | ± 0.16 |
| ASTR 1.2.7 | 2.0 | 22.0 | 45.0 | -3.1 | 65.2 | 95967 | 9.3 | 4972 | 9 | 0.26 | ± 0.10 |
| ASTR 1.2.8 | 2.0 | 22.2 | 44.2 | -3.0 | 66.7 | 95905 | 10.5 | 4990 | 11 | 0.35 | ± 0.13 |
| ASTR 1.2.9 | 2.0 | 22.0 | 45.3 | -2.8 | 65.4 | 95852 | 12.4 | 4989 | 11 | 0.56 | ± 0.22 |

Table 7: Exhaust Air Transfer Ratio (EATR), Condensation Rotor, with purge sector

| EATR with purge sector | v m/s | t_{11} °C | ϕ_{11} % r.F. | t_{21} °C | ϕ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | EATR % | Purge Sector |
|---------------------------|----------|----------------|-----------------------|----------------|-----------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| EATR 1.2.1 | 2.0 | 20.2 | 50.1 | 19.9 | 50.6 | 96460 | 9.3 | 4993 | 10 | 0.00 | ± 0.14 |
| EATR 1.2.2 | 2.0 | 20.0 | 50.1 | 20.3 | 49.2 | 96476 | 10.6 | 4993 | 10 | 0.03 | ± 0.13 |
| EATR 1.2.3 | 2.0 | 19.8 | 50.5 | 20.1 | 49.7 | 96531 | 12.4 | 4993 | 10 | 0.07 | ± 0.14 |
| EATR 1.2.4 | 2.0 | 21.9 | 45.2 | 2.1 | 67.2 | 96428 | 9.3 | 4993 | 10 | -0.02 | ± 0.14 |
| EATR 1.2.5 | 2.0 | 21.9 | 45.1 | 2.0 | 68.7 | 96506 | 10.6 | 4993 | 10 | 0.00 | ± 0.14 |
| EATR 1.2.6 | 2.0 | 22.1 | 44.4 | 1.9 | 69.4 | 96508 | 12.4 | 4993 | 10 | 0.13 | ± 0.18 |
| EATR 1.2.7 | 2.0 | 21.8 | 45.5 | -3.0 | 65.1 | 96391 | 9.3 | 4992 | 10 | -0.01 | ± 0.14 |
| EATR 1.2.8 | 2.0 | 21.9 | 44.9 | -3.2 | 66.4 | 96389 | 10.5 | 4992 | 10 | 0.02 | ± 0.15 |
| EATR 1.2.9 | 2.0 | 21.9 | 45.0 | -3.0 | 68.1 | 96350 | 12.4 | 4992 | 10 | 0.11 | ± 0.14 |

Condensation-Rotor with purge sector

face velocity 2 m/s (at $\rho = 1.2 \text{ kg/m}^3$), $\Delta p_{22-11} = 10 \text{ Pa}$

11:20°C/50% 11:22°C/45%
21:20°C/50% 21:2°C/70%

9.3 10.6 12.4 9.3 10.6 12.4 9.3 10.6 12.4

EATR/ASTR [%]

7.00

6.00

5.00

4.00

3.00

2.00

1.00

0.00

■ EATR

■ ASTR

1.2.1 1.2.2 1.2.3 1.2.4 1.2.5 1.2.6 1.2.7 1.2.8 1.2.9

Measuring point

Figure 2: Aerosol Transfer Ratio/Exhaust Air Transfer Ratio, Condensation Rotor, with purge sector

3.3. Sorption Rotary Heat Exchanger without purge sector

Table 8: Aerosol Transfer Ratio, Sorption Rotor, without purge sector

| ASTR | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | ASTR % | Purge Sector |
|-------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| ASTR 2.1.1 | 2.0 | 19.8 | 51.0 | 19.9 | 49.9 | 94516 | 10.1 | 2714 | 20 | 1.30 | ± 0.20 |
| ASTR 2.1.2 | 2.0 | 20.1 | 50.0 | 20.0 | 50.2 | 96543 | 15.1 | 2714 | 20 | 2.51 | ± 0.29 |
| ASTR 2.1.3 | 2.0 | 19.9 | 50.5 | 20.0 | 50.2 | 97631 | 20.0 | 2714 | 20 | 4.01 | ± 0.75 |
| ASTR 2.1.4 | 2.0 | 22.1 | 44.8 | 2.1 | 67.1 | 97005 | 10.2 | 2714 | 20 | 1.52 | ± 0.13 |
| ASTR 2.1.5 | 2.0 | 22.0 | 44.8 | 2.0 | 67.6 | 97639 | 15.1 | 2714 | 20 | 3.14 | ± 0.77 |
| ASTR 2.1.6 | 2.0 | 21.8 | 45.3 | 2.0 | 68.7 | 97665 | 20.0 | 2714 | 20 | 4.96 | ± 1.34 |
| ASTR 2.1.7 | 2.0 | 21.9 | 45.1 | -3.0 | 65.8 | 97723 | 10.3 | 2714 | 20 | 1.83 | ± 0.21 |
| ASTR 2.1.8 | 2.0 | 22.0 | 44.6 | -3.1 | 66.2 | 97710 | 15.3 | 2714 | 20 | 3.50 | ± 0.81 |
| ASTR 2.1.9 | 2.0 | 22.0 | 44.9 | -3.1 | 66.5 | 97690 | 20.0 | 2714 | 20 | 5.08 | ± 1.60 |
| ASTR 2.1.10 | 2.0 | 25.1 | 45.1 | 35.1 | 49.2 | 97768 | 10.4 | 2714 | 20 | 0.77 | ± 0.22 |
| ASTR 2.1.11 | 2.0 | 25.1 | 44.6 | 35.2 | 49.4 | 97738 | 15.2 | 2714 | 20 | 1.43 | ± 0.42 |
| ASTR 2.1.12 | 2.0 | 25.1 | 45.5 | 35.5 | 47.8 | 97745 | 20.0 | 2714 | 20 | 1.99 | ± 0.37 |

Table 9: Exhaust Air Transfer Ratio, Sorption Rotor, without purge sector

| EATR | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | EATR % | Purge Sector |
|-------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| EATR 2.1.1 | 2.0 | 20.1 | 49.6 | 20.0 | 50.2 | 97936 | 10.1 | 2714 | 20 | 2.39 | ± 0.15 |
| EATR 2.1.2 | 2.0 | 20.1 | 49.4 | 19.9 | 51.3 | 98394 | 15.0 | 2714 | 20 | 4.01 | ± 0.22 |
| EATR 2.1.3 | 2.0 | 20.1 | 49.8 | 20.1 | 49.9 | 98409 | 20.0 | 2714 | 20 | 5.46 | ± 0.23 |
| EATR 2.1.4 | 2.0 | 22.0 | 44.6 | 1.9 | 67.8 | 98381 | 10.2 | 2714 | 20 | 2.48 | ± 0.15 |
| EATR 2.1.5 | 2.0 | 22.0 | 44.7 | 2.1 | 67.9 | 98388 | 15.1 | 2714 | 20 | 4.10 | ± 0.19 |
| EATR 2.1.6 | 2.0 | 22.0 | 44.7 | 2.0 | 67.9 | 98407 | 20.0 | 2714 | 20 | 5.59 | ± 0.23 |
| EATR 2.1.7 | 2.0 | 22.2 | 44.5 | -3.1 | 68.1 | 98377 | 10.3 | 2714 | 20 | 2.53 | ± 0.15 |
| EATR 2.1.8 | 2.0 | 22.1 | 44.8 | -3.1 | 67.3 | 98397 | 15.2 | 2713 | 20 | 4.14 | ± 0.20 |
| EATR 2.1.9 | 2.0 | 21.9 | 45.0 | -2.9 | 68.3 | 98394 | 20.0 | 2712 | 20 | 5.59 | ± 0.26 |
| EATR 2.1.10 | 2.0 | 24.9 | 44.1 | 34.9 | 49.9 | 97743 | 10.4 | 2714 | 20 | 2.36 | ± 0.14 |
| EATR 2.1.11 | 2.0 | 25.0 | 43.8 | 34.9 | 50.1 | 97725 | 15.6 | 2714 | 20 | 3.94 | ± 0.19 |
| EATR 2.1.12 | 2.0 | 24.9 | 44.5 | 34.9 | 50.3 | 97756 | 20.1 | 2714 | 20 | 5.25 | ± 0.22 |

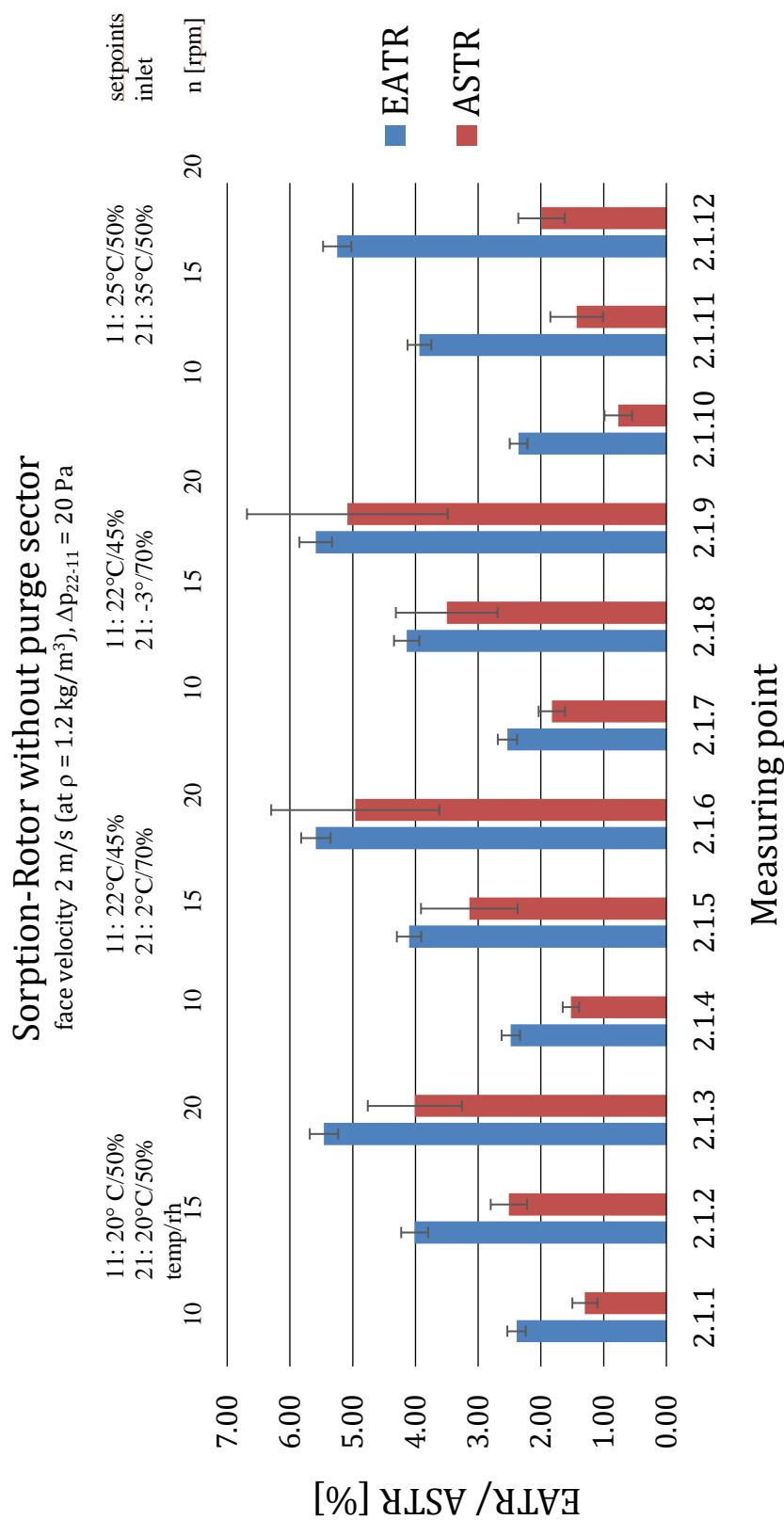


Figure 3: Aerosol Transfer Ratio/Exhaust Air Transfer Ratio, Sorption Rotor, with purge sector

3.4. Sorption Rotary Heat Exchanger with purge sector

Table 10: Aerosol Transfer Ratio, Sorption Rotor, with purge sector

| ASTR with purge sector | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | ASTR % | Purge Sector |
|---------------------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| ASTR 2.2.1 | 2.0 | 19.8 | 51.0 | 19.9 | 49.9 | 94516 | 10.1 | 2714 | 20 | 0.01 | ± 0.01 |
| ASTR 2.2.2 | 2.0 | 20.1 | 50.2 | 20.0 | 49.7 | 94540 | 15.1 | 2714 | 20 | 0.04 | ± 0.02 |
| ASTR 2.2.3 | 2.0 | 20.0 | 50.3 | 20.0 | 49.6 | 94550 | 20.0 | 2714 | 20 | 0.18 | ± 0.07 |
| ASTR 2.2.4 | 2.0 | 22.0 | 45.3 | 2.0 | 66.9 | 94510 | 10.2 | 2714 | 20 | 0.02 | ± 0.01 |
| ASTR 2.2.5 | 2.0 | 22.1 | 45.0 | 2.0 | 68.0 | 94493 | 15.1 | 2714 | 20 | 0.09 | ± 0.01 |
| ASTR 2.2.6 | 2.0 | 22.0 | 45.4 | 2.1 | 66.0 | 94487 | 20.0 | 2714 | 20 | 0.34 | ± 0.07 |
| ASTR 2.2.7 | 2.0 | 22.0 | 45.8 | -3.1 | 66.3 | 94664 | 10.3 | 2713 | 20 | 0.02 | ± 0.01 |
| ASTR 2.2.8 | 2.0 | 22.2 | 44.7 | -3.1 | 67.8 | 94587 | 15.3 | 2714 | 20 | 0.12 | ± 0.03 |
| ASTR 2.2.9 | 2.0 | 21.9 | 45.8 | -2.9 | 62.9 | 94539 | 20.0 | 2714 | 20 | 0.40 | ± 0.14 |
| ASTR 2.2.10 | 2.0 | 25.3 | 49.2 | 34.9 | 50.1 | 95442 | 10.3 | 2714 | 20 | 0.01 | ± 0.01 |
| ASTR 2.2.11 | 2.0 | 25.1 | 50.0 | 35.0 | 49.4 | 95409 | 15.4 | 2714 | 20 | 0.01 | yes |
| ASTR 2.2.12 | 2.0 | 24.9 | 50.4 | 35.1 | 49.8 | 95334 | 20.1 | 2714 | 20 | 0.06 | ± 0.04 |

Table 11: Exhaust Air Transfer Ratio, Sorption Rotor, with purge sector

| ETAR with purge sector | v m/s | t_{11} °C | φ_{11} % r.F. | t_{21} °C | φ_{21} % r.F. | p _{Baro} Pa | n rpm | q _{m22} kg/h | Δp_{22-11} Pa | EATR % | Purge Sector |
|---------------------------|----------|----------------|--------------------------|----------------|--------------------------|-------------------------|----------|--------------------------|--------------------------|-----------|-----------------|
| EATR 2.2.1 | 2.0 | 20.0 | 50.1 | 19.9 | 50.5 | 95833 | 10.1 | 2714 | 20 | 0.00 | ± 0.15 |
| EATR 2.2.2 | 2.0 | 20.0 | 49.6 | 20.0 | 50.3 | 95846 | 15.1 | 2714 | 20 | 0.00 | ± 0.15 |
| EATR 2.2.3 | 2.0 | 20.0 | 50.2 | 20.0 | 49.2 | 95790 | 20.0 | 2714 | 20 | 0.21 | ± 0.15 |
| EATR 2.2.4 | 2.0 | 22.1 | 44.6 | 1.8 | 67.6 | 95777 | 10.1 | 2714 | 20 | 0.00 | ± 0.16 |
| EATR 2.2.5 | 2.0 | 22.2 | 44.2 | 2.0 | 67.8 | 95759 | 15.2 | 2714 | 20 | 0.01 | ± 0.17 |
| EATR 2.2.6 | 2.0 | 22.1 | 44.7 | 2.0 | 68.5 | 95684 | 20.0 | 2714 | 20 | 0.22 | ± 0.16 |
| EATR 2.2.7 | 2.0 | 21.8 | 46.0 | -3.0 | 63.9 | 94811 | 10.3 | 2713 | 20 | 0.00 | ± 0.15 |
| EATR 2.2.8 | 2.0 | 22.2 | 45.4 | -2.9 | 62.6 | 94902 | 15.3 | 2713 | 20 | 0.00 | ± 0.15 |
| EATR 2.2.9 | 2.0 | 21.8 | 45.3 | -3.0 | 66.8 | 95075 | 20.0 | 2714 | 20 | 0.25 | ± 0.17 |
| EATR 2.2.10 | 2.0 | 25.0 | 50.0 | 34.9 | 50.4 | 96775 | 10.4 | 2714 | 20 | 0.00 | ± 0.14 |
| EATR 2.2.11 | 2.0 | 24.9 | 50.2 | 35.0 | 49.7 | 96897 | 15.6 | 2714 | 20 | 0.00 | ± 0.14 |
| EATR 2.2.12 | 2.0 | 25.0 | 50.2 | 34.8 | 50.8 | 97011 | 20.1 | 2714 | 20 | 0.16 | ± 0.14 |

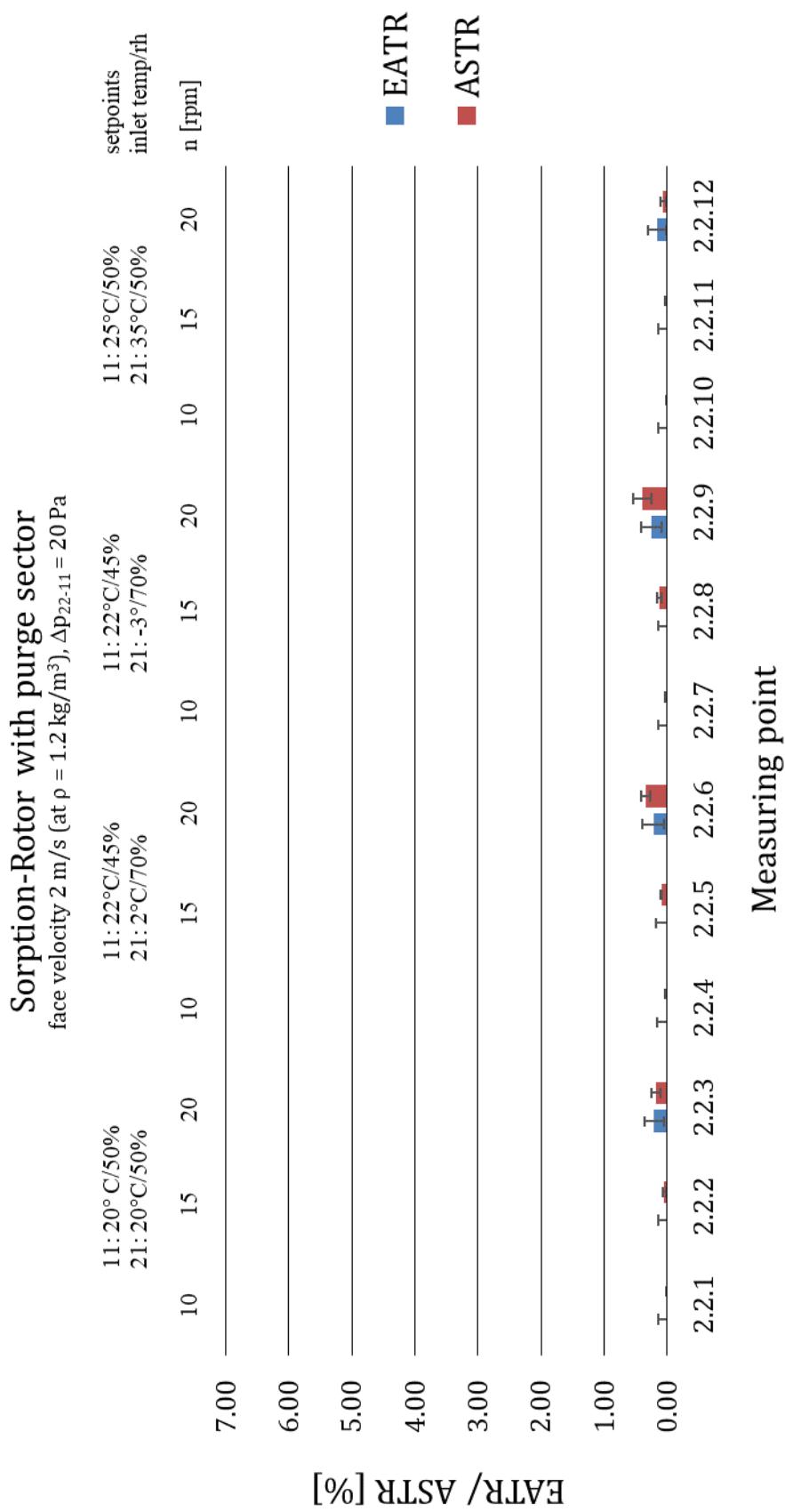


Figure 4: Aerosol Transfer Ratio/Exhaust Air Transfer Ratio, Sorption Rotor, with purge sector

4. Discussion

4.1. Significance of test conditions

AHUs that comply with the European Ecodesign Regulation [5] are typically designed for a face velocity (related to the inner cross-sectional area of the casing) of about 1.6 to 1.8 m/s at nominal air flow rate. Since the face area of the RHE is usually more than 20% smaller than the cross-sectional area of the AHU, the nominal face velocity of a RHE is usually higher than 2 m/s.

In applications such as office buildings and schools, face velocities in the range of about 1 m/s often occur in partial load operation mode. On the other hand, the REHVA COVID-19 guideline recommends not using partial load operation in pandemic situations. Therefore, the measured EATR and ASTR at 2 m/s are considered conservative for an infection risk assessment.

4.2. Comparison of test results

The following figures summarize the test results from the present project as well as from the previous project [6]. In them, the rotors are designated as follows:

- Condensation Rotor 1: Condensation rotor of the present project, see Table 1.
- Sorption Rotor 1: Sorption rotor of the present project, see Table 1.
- Condensation Rotor 2: Condensation rotor of the previous project
- Sorption Rotor 2: Sorption rotor of the previous project

Condensation Rotor 2 and Sorption Rotor 2 had no purge sector.

Figure 5 shows the ASTR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures (according to EN 308: supply air inlet temperature). The face velocity is 2 m/s.

Figure 6 shows the EATR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures (according to EN 308: supply air inlet temperature). The face velocity is 2 m/s.

Figure 7 shows the ASTR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures (according to EN 308: supply air inlet temperature). The face velocity is 2 m/s.

Figure 8 shows the EATR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures (according to EN 308: supply air inlet temperature). The face velocity is 2 m/s.

Figure 9 shows the ASTR and EATR of Condensation Rotor 2 as function face velocity by two different pressure differences Δp_{22-11} .

Figure 10 shows ASTR and EATR of Sorption Rotor 2 as function face velocity by two different rotor speeds.

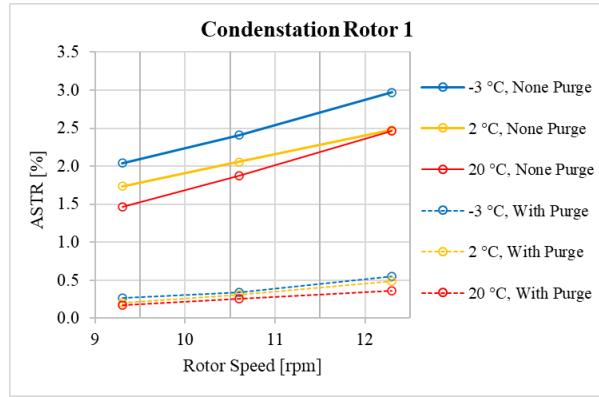


Figure 6: ASTR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s

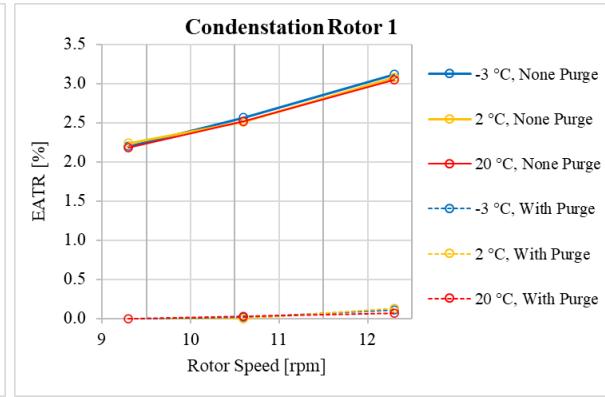


Figure 6: EATR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s

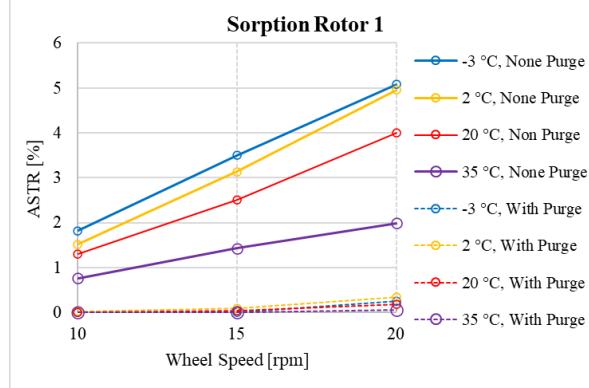


Figure 8: ASTR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s

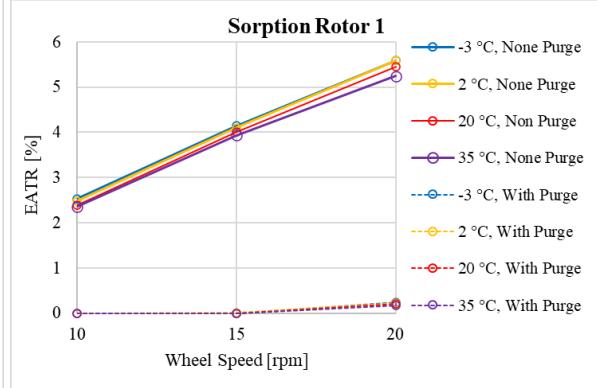


Figure 8: EATR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s

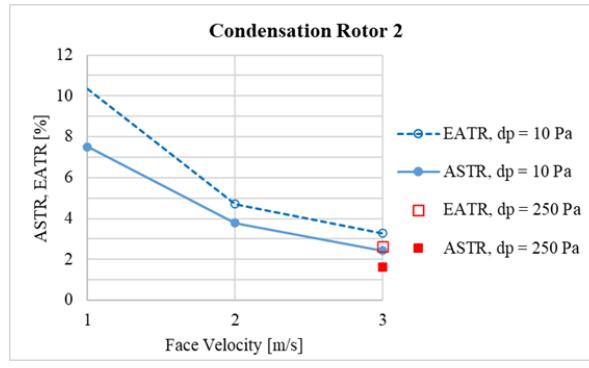


Figure 10: ASTR and EATR of Condensation Rotor 2 as function of the face velocity by two different pressure differences Δp_{22-11}

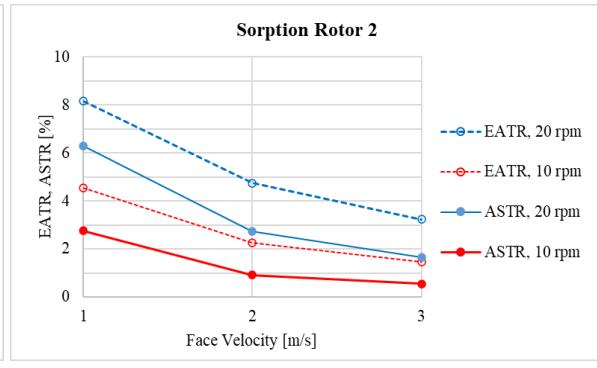


Figure 10: ASTR and EATR of Sorption Rotor 2 as function of the face velocity by two different rotor speeds

Tests without purge sector

At isothermal conditions (20°C) and a face velocity of 2 m/s in all measurements the ASTR was between 1% and 2% below the EATR.

A clear influence of the temperature can be seen in the ASTR measurements of Condensation Rotor 1 and Sorption Rotor 1. As the outdoor air temperature increases, the ASTR decreases. For the sorption rotor, this tendency is clearer than for the condensation rotor. This temperature-dependence may be partly due to shrinkage of some of the hygroscopic aerosol droplets below the lower detection limit of the sensors with decreasing relative humidity. The observation that the reduction is evident mostly for the sorption rotor would be in support such a mechanism.

The slight tendency for the EATR to be temperature-dependent can be explained by the definition of the face velocity, which is based on standard conditions. Mainly on the outdoor air side, the effective face velocity varies with temperature.

For Condensation Rotor 1 and Sorption Rotor 1, the dependence of EATR and ASTR, respectively, on rotor speed appears to be linear. But the intersection with the abscissa is not at zero, but in the range between 2 and 5 rpm for the ASTR and between 1 and 2 rpm for the ETAR. For the rotors from the previous project there are too few tests for a conclusion about this.

Tests with purge sector

These tests concern only Condensation Rotor 1 and Sorption Rotor 1.

At the low and medium rotor speed, the EATR is practically zero for both rotors (highest measured value $0.03\% \pm 0.14\%$ ¹). At the highest rotor speed, a maximum EATR of $0.13\% \pm 0.18\%$ was measured for the condensation rotor and $0.25\% \pm 0.17\%$ for the sorption rotor. It should be mentioned that, according to the manufacturer, the purge sector of Condensation Rotor 1 is not designed for the maximum rotor speed used in the tests. According to the manufacturer, the purge sector of Sorption Rotor 1 is designed for the highest rotor speed used in the tests.

For Sorption Rotor 1, the ASTR ranges from 0.01% to 0.12% (measurement uncertainty of about 0.02%) at the low and medium rotor speed and $0.40\% \pm 0.14\%$ at the highest rotor speed.

The ASTR of Condensation Rotor 1 is in the range of 0.17% to 0.35% (with a measurement uncertainty of about 0.10% abs.) at the lower and medium rotor speed and of $0.56\% \pm 0.22$ at the highest rotor speed.

Compared to the tests without purge sector, the measurements with purge sector show an ASTR that is higher than the EATR. For Sorption Rotor 1 with the lower and medium rotor speed, the difference is between 0.01% and 0.12%. For the highest rotor speed, the difference increases slightly to 0.03% to 0.15%. For all rotor speeds, the differences are within the measurement uncertainty, but always in the same direction. For Condensation Rotor 1 with low and medium rotor speed, the difference between ASTR and EATR is between 0.17 % and 0.33 %. At the highest rotor speed, the difference increases slightly to 0.29 % to 0.45 %. For Condensation Rotor 1 differences are greater than the measurement uncertainty.

One difference between the test series of the two rotors was the pressure difference between the supply air outlet and the exhaust air inlet Δp_{22-11} : for the Condensation Rotor 1 it was set to 10 Pa and for the Sorption Rotor 1 to 20 Pa. Since at the lower and medium rotor speed, the EATR of both rotors did not exceed 0.03%, the difference in pressure difference is not sufficient to explain the difference in ASTR. For a more detailed analysis, the fluidic phenomena in the purge sectors would have to be investigated in detail.

It is worth mentioning that even the highest EATR and ASTR values measured at a rotor speed for which the purge sectors are designed can still be considered quite low compared to other leakage and exhaust air transfer risks in ventilation systems.

¹ The value $\pm 0.14\%$ stands for the absolute measurement uncertainty

4.3. Conclusion

The project investigated how aerosols, which behave similarly to human lung aerosols, are transferred in rotary heat exchangers with and without a purge sector.

For a commercial condensation rotor and a commercial sorption rotor without a purge sector, the aerosol transfer ratio (ASTR) was always lower than the exhaust air transfer ratio (EATR) with the tracer gas SF6. The smallest difference of 0.1 to 0.5 percentage points was found at the lowest tested outside air temperature of -3 °C. At higher outdoor air temperatures, the ASTR was between 0.5 and 2 percentage points lower than the EATR.

With a purge sector and conditions (rotor speed, face velocity, pressure conditions) resulting in an EATR below 0.03%, measurements on a sorption rotor showed a maximum ASTR of 0.12%. For a Condensation rotor with a different purge sector design, the ASTR remained at a level of 0.17 to 0.35%. It is assumed that the difference lies in the fluidic phenomena of the purge sector and not in the matrix of the rotor.

5. List of Figures

| | |
|--|----|
| Figure 1: Aerosol Transfer Ratio/ Exhaust Air Transfer Ratio, Condensation Rotor, without purge sector | 8 |
| Figure 2: Aerosol Transfer Ratio/ Exhaust Air Transfer Ratio, Condensation Rotor, with purge sector. | 10 |
| Figure 3: Aerosol Transfer Ratio/ Exhaust Air Transfer Ratio, Sorption Rotor, with purge sector..... | 12 |
| Figure 4: Aerosol Transfer Ratio/ Exhaust Air Transfer Ratio, Sorption Rotor, with purge sector..... | 14 |
| Figure 5: ASTR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s..... | 16 |
| Figure 6: EATR of Condensation Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s..... | 16 |
| Figure 7: ASTR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s..... | 16 |
| Figure 8: EATR of Sorption Rotor 1 with and without purge sector, as function of the rotor speed by different outdoor air temperatures and a face velocity of 2 m/s..... | 16 |
| Figure 9: ASTR and EATR of Condensation Rotor 2 as function of the face velocity by two different pressure differences Δp_{22-11} | 16 |
| Figure 10: ASTR and EATR of Sorption Rotor 2 as function of the face velocity by two different rotor speeds | 16 |
| Figure 11: ASTR measurements | 20 |
| Figure 12: EATR measurements | 21 |
| Figure 13: Heat recovery test rig..... | 22 |

6. Bibliography

- [1] Federation of European Heating, Ventilation and Air Conditioning Associations. RHEVA COVID-19 guidance document, version 4.1. Brussels. 2021-04-15.
- [2] EN 308:2021 Heat exchangers - Test procedures for establishing performance of air to air heat recovery components. CEN, Brussels.
- [3] Johnsona G.R., Morawskaan L., Ristovskia Z.D., Hargreavesa M., Mengersena K., Chaob C.Y.H., Wanb M.P., Lic Y., Xiecf X., Katoshevskid D., Corbett S. Modality of human expired aerosol size distributions. Journal of Aerosol Science 2011; 42.
- [4] Maximoff S.N., Salehi A.A., Rostami A. Modality of human expired aerosol size distributions. Molecular dynamics simulations of homogeneous nucleation of liquid phase in highly supersaturated propylene glycol vapors; 2021
- [5] Commission Regulation (EU) No 1253/2014 of 7 July 2014 implementing Directive 2009/125/EC of the European Parliament and of the Council with regard to ecodesign requirements for ventilation units.
- [6] Prüfstelle Gebäudetechnik. Test Report No.: HP-202084 Measurements on two Rotary Heat Exchangers. University of Applied Sciences and Arts (HSLU), Horw. 2021-03-09.

7. Annex 2: Test Method

7.1. Aerosol Transfer Ratio ASTR

For the aerosol measurements, a particle generator was installed in the exhaust air inlet duct and additional measuring devices in all four air streams. A mixture of triethylene glycol, monopropylene glycol and dipropylene glycol is used as the aerosol source. The aerosol used has an average diameter of just over one micrometer and is therefore comparable in size to exhaled aerosol. The aerosol, like human exhaled aerosol, is liquid at normal ambient temperatures. It is produced by evaporation and condensation of a water -glycol mixture and is stable in air for extended periods of time.

The aerosol concentration is measured in all four air streams (outside air, supply air, extract air, exhaust air). To keep the aerosol concentration in the outside air as low as possible, it is passed through an H14 filter. In the exhaust air inlet, the aerosol feed is built up. During the measurement, the aerosol is applied using a pulse method. The maximum peak heights at the four aerosol measuring points are used for evaluation. Parallel to the aerosol transfer, the EATR is determined with tracer gas.

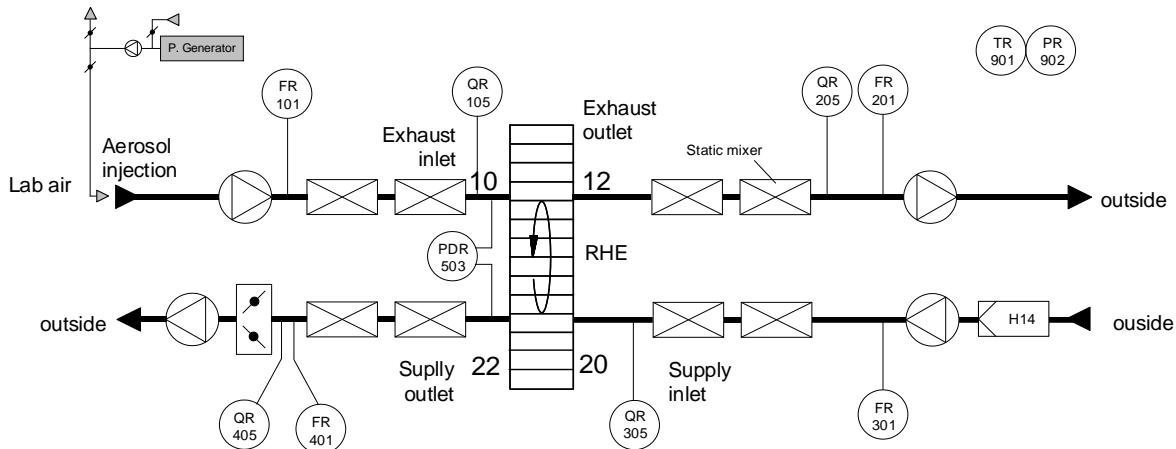


Figure 11: ASTR measurements

Table 12: Symbols ASTR measurements

| First letter | Subsequent letter | Auxiliary letter |
|-----------------|-------------------|------------------|
| F air flow rate | D difference | R registration |
| P pressure | | |
| T temperature | | |
| M humidity | | |
| Q concentration | | |

Table 13: Measured values ASTR tests

| Position | Symbol | Unit | Description |
|----------|-------------------|------------------------|---------------------------------------|
| FR101 | q_{v11} | m^3/h | Volume flow extract air 1.1 |
| QR105 | b_{11} | P/cm^3 | Aerosol concentration extract air 1.1 |
| QR205 | b_{12} | P/cm^3 | Aerosol concentration exhaust air 1.2 |
| QR305 | b_{21} | P/cm^3 | Aerosol concentration outdoor air 2.1 |
| FR401 | q_{v22} | m^3/h | Volume flow supply air 2.2 |
| QR405 | b_{22} | P/cm^3 | Aerosol concentration supply air 2.2 |
| TR901 | t_u | $^\circ\text{C}$ | Ambient temperature |
| PR902 | p_{baro} | Pa | Atmospheric pressure |

Table 14: Calculated values ASTR tests

| Symbol | Unit | Description | Definition |
|--------|------|------------------------|---|
| ASTR | % | Aerosol transfer ratio | $\text{ASTR} = \frac{q_{\text{ASTR}}}{q_{m22}} \cdot 100\% = \frac{b_{22} - b_{21}}{b_{11} - b_{21}} \cdot 100\%$ |

7.2. Exhaust Air Transfer Ratio EATR

Measurement for EATR is conducted by injecting SF₆ Sulphur Hexafluorid Tracergas in the Extract Air (1.1) of the heat recovery test rig. SF₆ concentration is determined with a photoacoustic IR-gas monitor in all four stations.

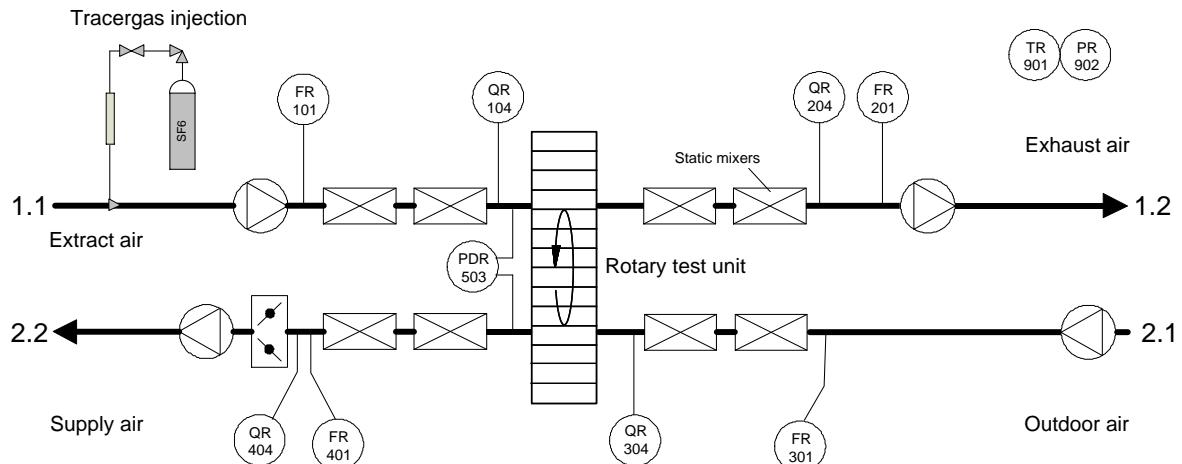


Figure 12: EATR measurements

Table 15: Symbols EATR measurements

| First letter | Subsequent letter | Auxiliary letter |
|--------------|-------------------|------------------|
| F | air flow rate | |
| P | pressure | |
| T | temperature | |
| M | humidity | |
| Q | concentration | |
| | | R registration |

Table 16: Measured values EATR tests

| Position | Symbol | Unit | Description |
|----------|-------------------|-------------------|---|
| FR101 | q _{v11} | m ³ /h | Volume flow extract air 1.1 |
| QR104 | a ₁₁ | ppm | Tracergas concentration extract air 1.1 |
| QR204 | a ₁₂ | ppm | Tracergas concentration exhaust air 1.2 |
| QR304 | a ₂₁ | ppm | Tracergas concentration outdoor air 2.1 |
| FR401 | q _{v22} | m ³ /h | Volume flow supply air 2.2 |
| QR404 | a ₂₂ | ppm | Tracergas Concentration supply air 2.2 |
| TR901 | t _u | °C | Ambient temperature |
| PR902 | p _{baro} | Pa | Atmospheric pressure |

Table 17: Calculated values EATR tests

| Symbol | Unit | Description | Definition |
|--------|------|----------------------------|---|
| EATR | % | Exhaust air transfer ratio | $EATR = \frac{q_{EATR}}{q_{m22}} \cdot 100\% = \frac{a_{22} - a_{21}}{a_{11} - a_{21}} \cdot 100\%$ |

7.3. Test Rig for Energy Recovery Devices

The standard measurement values as air flow, temperature, humidity, pressure difference are shown in the following figure.

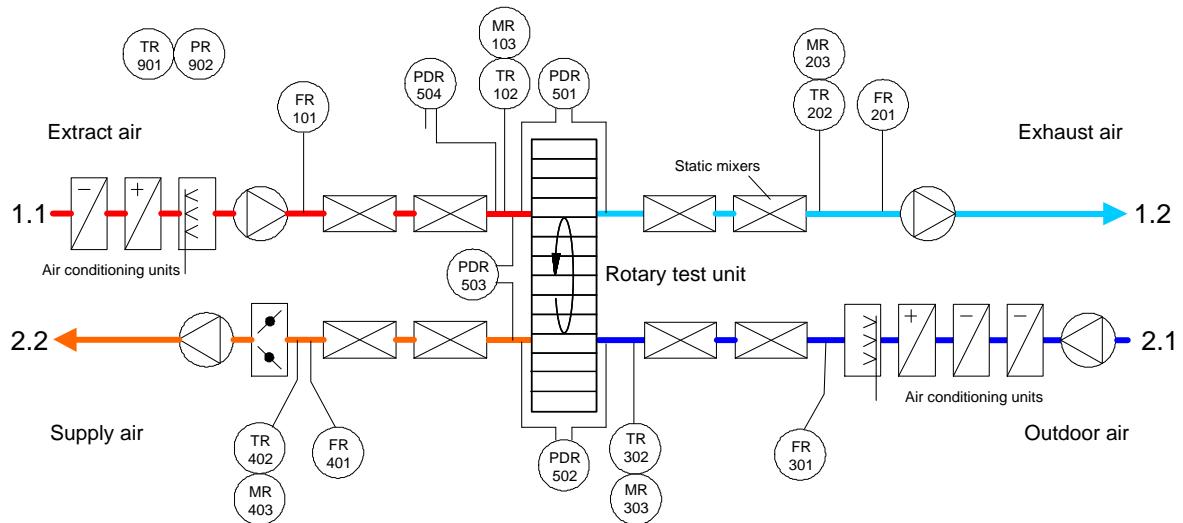


Figure 13: Heat recovery test rig

Table 18: Measured values test rig for energy recovery devices

| Position | Symbol | Unit | Description |
|----------|-----------------------------|-----------------------|--|
| FR101 | q_{v11} | m^3/h | Volume flow extract air 1.1 |
| TR102 | t_{11} | $^\circ\text{C}$ | Temperature extract air 1.1 |
| MR103 | tp_{11} | $^\circ\text{C}$ | Dew point temperature extract air 1.1 |
| FR201 | q_{v12} | m^3/h | Volume flow exhaust air 1.2 |
| TR202 | t_{12} | $^\circ\text{C}$ | Temperature exhaust air 1.2 |
| MR203 | tp_{12} | $^\circ\text{C}$ | Dew point temperature exhaust air 1.2 |
| FR301 | q_{v21} | m^3/h | Volume flow outdoor air 2.1 |
| TR302 | t_{21} | $^\circ\text{C}$ | Temperature outdoor air 2.1 |
| MR303 | tp_{21} | $^\circ\text{C}$ | Dew point temperature outdoor air 2.1 |
| FR401 | q_{v22} | m^3/h | Volume flow supply air 2.2 |
| TR402 | t_{22} | $^\circ\text{C}$ | Temperature supply air 2.2 |
| MR403 | tp_{22} | $^\circ\text{C}$ | Dew point temperature supply air 2.2 |
| PDR501 | p_{v1} | Pa | Pressure drop extract air 1.1 – Exhaust air 1.2 |
| PDR502 | p_{v2} | Pa | Pressure drop outdoor air 2.1 – Supply air 2.2 |
| PDR503 | Δp_{22-11} | Pa | Pressure difference Supply air 2.2 – Extract air 1.1 |
| PDR504 | $\Delta p_{11-\text{baro}}$ | Pa | Pressure difference Extract air 1.1 – Atmospheric pressure |
| TR901 | t_u | $^\circ\text{C}$ | Ambient temperature |
| PR902 | p_{baro} | Pa | Atmospheric pressure |

Table 19: Calculated values

| Symbol | Unit | Description | Definition |
|-----------|------------------|----------------------|---|
| v | m/s | Face air velocity | $v = \frac{q_{vn}}{A_{tot}/2}$ |
| A_{tot} | m^2 | Free face area | $A_{tot} = \pi \frac{D_o^2 - D_i^2}{4}$ |
| q_m | kg/s | Air mass flow rate | $q_m = q_{v,meas} \cdot \rho$ |
| ρ | kg/ m^3 | Air density measured | $\rho = f(p_{Baro}, t, x)$ |
| x | g/kg | Moisture content | $x = f(p_{Baro}, t, tp)$ |

7.4. Symbols and Subscripts

| | |
|-----------|--------------------------------------|
| 1 | exhaust-air side |
| 2 | supply-air side |
| 11 | extract air |
| 12 | exhaust air |
| 21 | outdoor air |
| 22 | supply air |
| n | rotor speed |
| ASTR | aerosol transfer ratio |
| EATR | exhaust air transfer ratio |
| QEATR | mass flow exhaust air transfer ratio |
| q_m | air mass flow rate |
| A_{tot} | free face area |
| D_o | free diameter |

8. Annex 2: Measurement Devices

8.1. Aerosol Generator/ Aerosol

The Aerosol Generator is a *Look Power-Tiny Accu-Power Fogger* fog machine. At full power, the generator produces up to 5×10^{13} #/min in the detectable size range (or around 100'000 #/cm³ in a volume flow of 500 m³/min).

The aerosol used has an average diameter of just over one micrometer and is therefore comparable in size to exhaled aerosol [2]. The aerosol, like human exhaled aerosol, is liquid at normal ambient temperatures. It is produced by evaporation and condensation of a water-glycol mixture and is stable in air for a long time [3]. The mixture used in the studies consists of triethylene glycol, monopropylene glycol and dipropylene glycol.

8.2. Aerosol measuring device

Two measuring devices were installed in each air duct.

Make: Sensirion

Type: SPS30

Measuring range (number in PM10):

- Size range 0.3 to 10 µm
- calibrated measuring range 0 to 3000 #/cm³, extrapolated linear range up to 100'000 #/cm³. (factory calibration)

Measurement uncertainty ex works for PM4 and PM10: 0-1000 #/cm³ +/- 250 #/cm³; > 1000 #/cm³: +/- 25% (determined with Arizona test dust / salt dust by manufacturer)

Measurement uncertainty ex works for PM2.5 and smaller: 0-1000 #/cm³ +/- 100 #/cm³; > 1000 #/cm³: +/- 10% (determined with Arizona test dust / salt dust by manufacturer)

Uncertainty* after internal cross correction for number PM10: 0-1000 #/cm³ +/- 10 #/cm³, >1000 #/cm³: +/- 3% (determined with TinyPower test dust in SCOEH test room)

*) Device to Device variability.

8.3. Specification Instruments test rig for energy recovery devices

If there are references to calibration documents the measurement uncertainties are given with a level of confidence of 95% (k=2).

| Position | Sensor Number | Symbol | Unit | Measurement Uncertainty | Date of Calibration | Indication | Remarks |
|----------|---------------|----------------------------|------|-------------------------|---------------------|--------------------------------------|--------------------|
| PDR 600 | 1.07 HP 177 | p _{wi11} | Pa | 2.07 Pa | 20.03.2019 | Differential Pressure Extract Air 11 | |
| PDR 601 | 1.07 HP 186 | p _{wi12} | Pa | 1.84 Pa | 20.03.2019 | Differential Pressure Exhaust Air 12 | |
| PDR 602 | 1.07 HP 189 | p _{wi21} | Pa | 1.42 Pa | 20.03.2019 | Differential Pressure Outdoor Air 21 | |
| PDR 603 | 1.07 HP 181 | p _{wi22} | Pa | 2.61 Pa | 20.03.2019 | Differential Pressure Supply Air 22 | |
| PDR 505 | 1.07 HP 154 | p _{vor11} | Pa | 1.11 Pa | 20.03.2019 | Pressure Extract Air 11 | |
| PDR 506 | 1.07 HP 087 | p _{vor12} | Pa | 2.04 Pa | 20.03.2019 | Pressure Extract Air 12 | |
| PDR 507 | 1.07 HP 117 | p _{vor21} | Pa | 1.33 Pa | 20.03.2019 | Pressure Outdoor Air 21 | |
| PDR 508 | 1.07 HP 084 | p _{vor22} | Pa | 1.58 Pa | 20.03.2019 | Pressure Supply Air 22 | |
| TR 102 | 1.16 HP 164 | t ₁₁ | °C | 0.18 K | 01.10.2020 | Temperature Extract Air 11 | Grid with 16 PT100 |
| TR 202 | 1.16 HP 164 | t ₁₂ | °C | 0.08 K | 01.10.2020 | Temperature Extract Air 12 | Grid with 16 PT100 |
| TR 302 | 1.16 HP 164 | t ₂₁ | °C | 0.08 K | 01.10.2020 | Temperature Outdoor Air 21 | Grid with 16 PT100 |
| TR 402 | 1.16 HP 164 | t ₂₂ | °C | 0.10 K | 01.10.2020 | Temperature Supply Air 22 | Grid with 16 PT100 |
| MR 103 | 1.09 HP 116 | tp ₁₁ | °C | 0.12 K | 27.08.2021 | Dew Point Extract Air 11 | |
| MR 203 | 1.09 HP 114 | tp ₁₂ | °C | 0.11 K | 27.08.2021 | Dew Point Extract Air 12 | |
| MR 303 | 1.09 HP 113 | tp ₂₁ | °C | 0.13 K | 27.08.2021 | Dew Point Outdoor Air 21 | |
| MR 403 | 1.09 HP 115 | tp ₂₂ | °C | 0.15 K | 27.08.2021 | Dew Point Supply Air 22 | |
| PDR 501 | 1.07 HP 138 | D _{p1} | Pa | 0.86 Pa | 20.03.2019 | Pressure Loss Exhaust Side 1 | |
| PDR 502 | 1.07 HP 156 | D _{p2} | Pa | 0.34 Pa | 20.03.2019 | Pressure Loss Supply Side 2 | |
| PDR 503 | 1.07 HP 135 | D _{p22-11..22-12} | Pa | 3.37 Pa | 20.03.2019 | Pressure Difference 22-11, 22-21 | |
| PDR 504 | 1.07 HP 019 | D _{p11-Umg} | Pa | 2.56 Pa | 20.03.2019 | Pressure Difference 22-Umg | |

| Position | Sensor Number | Symbol | Unit | Measurement Uncertainty | Date of Calibration | Indication | Remarks |
|----------|---------------|----------------------------------|------|-------------------------|---------------------|--|----------------|
| TR 901 | 1.03 HP 126 | t_{ung} | °C | | | Ambient Temperature | |
| PR 902 | 1.07 HP 202 | P_{baro} | mbar | | | Barometric Pressure | |
| | 1.11 HP 060 | $a_{11}, a_{12}, a_{21}, a_{22}$ | ppm | 0.02 ppm + 1.4 % MW | 26.03.2019 | Multipoint Sampler for Tracegas concentration | not calibrated |
| FR 101 | 1.08 HP 059 | - | m3/h | 0.77 % MW | 02.08.2020 | Concentrations Tracergas 11, 12, 21, 22 | |
| FR 201 | 1.08 HP 340 | - | m3/h | 0.76 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN250 Extract Air 11 | |
| FR 301 | 1.08 HP 342 | - | m3/h | 0.76 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN250 Exhaust Air 12 | |
| FR 401 | 1.08 HP 343 | - | m3/h | 0.84 % MW | 13.09.2018 | Volumetric Airflow Nozzle DN250 Outdoor Air 21 | |
| FR 101 | 1.08 HP 341 | - | m3/h | 0.79 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN250 Supply Air 22 | |
| FR 101 | 1.08 HP 327 | - | m3/h | 0.72 % MW | 15.09.2018 | Volumetric Airflow Nozzle DN150 Extract Air 11 | |
| FR 201 | 1.08 HP 328 | - | m3/h | 0.73 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN150 Exhaust Air 12 | |
| FR 301 | 1.08 HP 329 | - | m3/h | 0.71 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN150 Outdoor Air 21 | |
| FR 401 | 1.08 HP 326 | - | m3/h | 0.75 % MW | 14.09.2018 | Volumetric Airflow Nozzle DN150 Supply Air 22 | |

Table 20: Specification of Instruments

The measurement uncertainties for temperature, volumetric airflow and pressure drop are within the tolerances of EN 308. They are determined according to the ISO/IEC Standard Guide 98-3:2008 „Guide to the Expression of Uncertainty in Measurements (GUM)“.